IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Eisenhower et al.

Serial No.:

Unknown

Filed:

Herewith

Title:

SUPERCRITICAL PRESSURE REGULATION OF VAPOR COMPRESSION SYSTEM BY REGULATION OF ADAPTIVE

CONTROL

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Provided herewith are copies of the publications as listed in the attached Form PTO-1449. None of these references discloses the invention claimed in the subject application.

Respectfully submitted,

CARLSON, GASKEY & OLDS, P.C.

Dated: September 5, 2003

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PTO/SB/08A (10-01)
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Substitute for form 1	440A/DTO	Complete if Known			
		Application Number	Unknown		
INFORMA [*]	TION DISCLOSURE	Filing Date	Herewith		
STATEME	NT BY APPLICANT	First Named Inventor	Eisenhower et al.		
		Art Unit	Unknown		
(use as m	any sheets as necessary)	Examiner Name	Unknown		
Sheet 1	of 2	Attorney Docket Number	60246-189;10580		

		U.S. PATI	ENT DOCUMENTS	
Examiner Initials	Cite No. 1 Number - Kind Code 2 (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US- 6,264,111	07-24-2001	Nicolson et al.	
	us- 6,006,142	12-21-1999	Seem et al.	***************************************
***************************************	Us- 4,873,649	10-10-1989	Grald et al.	
	US- 5,301,101	04-05-1994	MacArthur et al.	
	us- 5,526,292	06-11-1996	Hodgson et al.	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code 3 -Number 4 - Kind Code 5 (ff known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6		
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3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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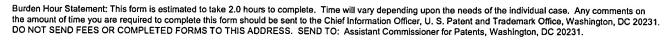
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Substitute for form 1449B/PTO				Complete if Known		
INFORMATION DISCLOSURE					Application Number	Unknown
					Filing Date	Herewith
STATEMENT BY APPLICANT				APPLICANT	First Named Inventor	Eisenhower et al.
•	(use as many sheets as necessary)			/	Group Art Unit	Unknown
				ts as necessary)	Examiner Name	Unknown
heet	2		of	2	Attorney Docket Number	60246-189;10580

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
	i i	WANG ET AL., Experimental Application of Extremum Seeking on an Axial-Flow Compressor, IEEE Transactions on Control Systems Technology, pages 1-11, 1998.				
		·				
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